

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

|                        |               |
|------------------------|---------------|
| Application Number     | 09846823      |
| Filing Date            | 2001-04-30    |
| First Named Inventor   | Dunning       |
| Art Unit               | 3622          |
| Examiner Name          | Y. Retta      |
| Attorney Docket Number | 085804-014501 |

|   |              |    |    |            |                       |                          |
|---|--------------|----|----|------------|-----------------------|--------------------------|
| 3 | EP 0 860 785 | EP | A1 | 1998-08-26 | NEC Corporation       | <input type="checkbox"/> |
| 4 | EP 1 050 830 | EP | A2 | 2000-11-08 | Xerox Corporation     | <input type="checkbox"/> |
| 5 | WO 98/33135  |    |    | 1998-07-30 | Firefly Network, Inc. | <input type="checkbox"/> |
| 6 | WO 00/04474  |    |    | 2000-01-27 | Net Perceptions, Inc. | <input type="checkbox"/> |

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**NON-PATENT LITERATURE DOCUMENTS**

|                    |         |   |                          |
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| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>s</sup>           |
|                    | 1       | Supplementary European Search Report in Application No. EP 01 95 1043 (dated March 28, 2007)  | <input type="checkbox"/> |

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**EXAMINER SIGNATURE**

|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

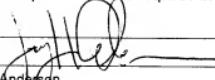
OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- See attached certification statement.  
 Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.  
 None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

|            |   |                     |            |
|------------|---|---------------------|------------|
| Signature  |  | Date (YYYY-MM-DD)   | 2007-11-01 |
| Name/Print | Jay H. Anderson   | Registration Number | 38,371     |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.